SEC Form 4
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## FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

				. ,										
1. Name and Address of Reporting Person <sup>*</sup> Finizio Robert G				suer Name <b>and</b> Tick erapeuticsMD					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
	<u>10</u>			<u> </u>		-	-		Director	10%	Owner			
(Last)	(Last) (First) (Middle)				action (I	Month	(Day/Year)	— x	Officer (give title below)	Othe	er (specify w)			
				3. Date of Earliest Transaction (Month/Day/Year) 03/04/2021						, í	CEO			
951 YAMATO ROAD														
SUITE 220														
		4. If	Amendment, Date o	f Origina	al File	d (Month/Day/\	6. Ind Line)	6. Individual or Joint/Group Filing (Check Applicable						
(Street)								1 1	X Form filed by One Reporting Person					
BOCA RATON	FL	33431								Form filed by More than One Reporting				
									Person					
(City)	(State)	(Zip)												
		Table I - No	on-Derivative	e Securities Ac	quired	d, Di	sposed of,	or Ber	neficially	Owned				
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities A Disposed Of (			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
					Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock			03/04/2021		x		179,000	A	\$0.2444	18,580,964	D			
Common Stock 03/04/2			03/04/2021		F <sup>(1)</sup>		29,964	D	\$1.46	18,551,000	D			
Common Stock			03/05/2021		G	v	350,000	D	\$ <mark>0</mark>	18,201,000	D			
Common Stock			02/28/2020		G		300,000	D	\$ <mark>0</mark>	17,901,000	D			
Common Stock										2,000,000	I	See Footnote <sup>(2)</sup>		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)			6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Warrants (right to buy)	\$0.2444	03/04/2021		x			179,000	(3)	03/06/2021	Common Stock	179,000	\$0	0	D	

Explanation of Responses:

1. On March 4, 2021, the reporting person exercised a warrant to purchase 179,000 shares of issuer common stock for \$0.2444 per share. The reporting person paid the exercise price on a cashless basis, resulting in the issuer's withholding of 29,964 of the warrant shares to pay the exercise price and issuing to the reporting person the remaining 149,036 shares.

2. The reported securities are owned by Robert Finizio Revocable Trust. Includes 1,004,941 shares previously reported as indirectly owned by the reporting person's Grantor-Retained Annuity Trust.

3. The warrant vested quarterly in eight equal installments commencing June 30, 2011, becoming fully-vested on March 31, 2013.

/s/ Robert G. Finizio 03/08/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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