SEC Form 4

FORM 4

Check this box if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB	APPROVAL

l	OMB Number:	3235-0287
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l	hours per response:	0.5

to Sec obliga	tion 16. Form 4 tions may conti ction 1(b).	1 or Form 5	File	d pursu or S	ant to ection	Section 16 30(h) of th	6(a) of the	Secur	ities Exchang ompany Act o	e Act c f 1940	of 1934				verage bui esponse:	den 0.5
1. Name and Address of Reporting Person [*] Rubric Capital Management LP					2. Issuer Name and Ticker or Trading Symbol 5.							Relationshi Check all app X Direc	licable)	ting Pe X	.,	Issuer Owner
(Last) (First) (Middle) 155 EAST 44TH ST, SUITE 1630				3. Date of Earliest Transaction (Month/Day/Year) 11/15/2023							Officer (give title X Other (specify below) See Remarks.					
(Street) NEW YORK NY 10017			4. lf								6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person					
(City)	(S	tate) (.	Zip)	Rule 10b5-1(c) Transaction Indication					rsuant to a	to a contract, instruction or written plan that is intended to						
		Table	I - Non-Deriv	ative	Secu	urities A	cquire	d, Di	sposed of	, or E	Benefic	ially Own	ed			
1. Title of	1. Title of Security (Instr. 3) 2. Transac Date (Month/Da			ion 2A. Deemed Execution Date,		Code	3. 4. Securities A Transaction Disposed Of (D Code (Instr. 5)		s Acquired (A) or f (D) (Instr. 3, 4 ar		Benefic Owned	es ially Following	Form: ly (D) or		7. Nature of Indirect Beneficial Ownership	
							Code	v	Amount	(A) o (D)	r Price	Reporte Transac (Instr. 3	tion(s)			(Instr. 4)
Common Stock, par value \$0.001 per share ("Common Stock") 11/15/2			023)23		A		877,192	A	\$2.28	3 ⁽¹⁾ 2,94	2,946,908			See footnote ⁽²⁾	
		Та	ble II - Derivat (e.g., p										d			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date Execution Date, Transaction of Ex		per 6. Da Expir ve (Mon es d				e and int of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securitie Beneficia Owned Following Reported Transacti (Instr. 4)	e s ally g	10. Ownershi Form: Direct (D) or Indirec (I) (Instr. 4	. Beneficia Ownershi t (Instr. 4)			
				Code	v	(A) (I	Date D) Exer	cisable	Expiration Date	Title	Amount or Number of Shares					
		f Reporting Person [*] Management	L <u>P</u>				·		,	-						
(Last) 155 EAS	ST 44TH ST	(First) Γ, SUITE 1630	(Middle)													
(Street) NEW Y	ORK	NY	10017													
(City)		(State)	(Zip)													
1 Nome -		(D) (D)	,													

1. Name and Address of Reporting Person Rosen David Efraim

(Last) (First)

(Lust)	(11130)	(middle)
155 EAST 44TH	I ST., SUITE 1630	
(Street) NEW YORK	NY	10017

(State)

(Middle)

(Zip)

Explanation of Responses:

(City)

1. The Common Stock was purchased in connection with a Subscription Agreement entered into as of May 1, 2023 between the Issuer and Rubric Capital, as described in the Issuer's Current Report on Form 8-K filed with the Securities and Exchange Commission on May 5, 2023.

2. This Form 4 is filed by Rubric Capital Management LP ("Rubric Capital") and Mr. David Rosen, with respect to the securities held by certain funds and/or accounts (collectively, the "Rubric Vehicles"). Rubric Capital serves as the investment adviser to the Rubric Vehicles. Mr. David Rosen serves as the Managing Member of Rubric Capital Management GP, LLC, the general partner of Rubric Capital. The filing of this statement shall not be deemed an admission that any Reporting Person is the beneficial owner of the securities reported herein for purposes of Section 16 of the Securities Act of 1934, as amended, or otherwise. Each Reporting Person expressly disclaims beneficial ownership of the securities reported herein except to the extent of its or his pecuniary interest therein.

Remarks:

The Reporting Persons are directors by deputization for purposes of Section 16 under the Securities Exchange Act of 1934, as amended, by virtue of the fact that Justin Roberts, a Partner at Rubric Capital, serves on the board of directors of the Issuer.

 Rubric Capital Management

 LP, By: /s/ Michael Nachmani,

 its Chief Operating Officer

 /s/ David Rosen its Chief

 Operating Officer

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.